

#12

Attorney Docket No. 1454.1067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of:

Horst-Udo HAIN

Application No.: NEW

Group Art Unit: To be assigned

Filed: August 31, 2001

Examiner: To be assigned

For: METHOD FOR SPEECH SYNTHESIS

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copies of IDS citations.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
- 1d. ☐ English language translation (complete or relevant portion(s)) attached to each non-English language publication.
- 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.

2. ☒ In accordance with 37 CFR §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is

(Check appropriate Items 2a, 2b, 2c and/or 2d)

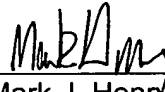
- 2a. ☐ satisfied because all non-English language publications were cited on the enclosed "English-language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, pp. 600-100 to 600-101, Rev. 1, Feb. 2000.)
- 2b. ☒ set forth in the application.

- 2c. ☐ satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 2d. ☒ enclosed as Attachment 1(e), hereto.
3. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§1.97(g) and (h).

Respectfully submitted,

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.  
1454.1067APPLICATION NO.  
NEW**LIST OF REFERENCES CITED BY APPLICANT***(Use several sheets if necessary)*FIRST NAMED INVENTOR  
Horst-Udo HAINFILING DATE  
August 31, 2001GROUP ART UNIT  
To be assigned

JC986  
 09/942736  
 08/31/01

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	6,029,135	2/00	Krasle			
	AB	5,732,388	3/98	Hoege et al.			
	AC						
	AD						
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AG	DE 196 36 739 C1	7/97	Germany			X
	AH	DE 197 19 381 C1	1/98	Germany			X
	AI	DE 694 20 955 T2	7/00	Germany			X
	AJ	WO 94/23423	10/13/94	PCT			
	AK						
	AL						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AM	Rüdiger Hoffmann, Signalanalyse Und-Erkennung, Berlin 1998, pp381-405.
	AN	
	AO	

**EXAMINER****DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**ATTACHMENT 1(e)**

<b>EXPLANATIONS OF RELEVANCY OF REFERENCES</b>	ATTORNEY DOCKET NO. 1454.1067	APPLICATION NO. NEW
	FIRST NAMED INVENTOR Horst-Udo HAIN	
	FILING DATE August 31, 2001	GROUP ART UNIT To be assigned

Reference AJ is an English language equivalent for Reference AI.